



Docket #: SVL920010041US1

(PATENT) 2155  
#3  
02-26-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: **Ho, et al.** Assignee: **International Business Machines Corporation**

Application No.: **09/849,793** Group No.: **Unassigned**

Filed: **May 4, 2001** Examiner: **Unassigned**

Confirmation No.: **Unassigned**

For: **CICS BMS (BASIC MESSAGE SERVICE) METAMODEL**

Commissioner for Patents  
Washington, DC 20231

**RECEIVED**

- FEB 19 2002

**Technology Center 2100**

**TRANSMITTAL LETTER**

We are transmitting herewith the attached:

- ☒ Information Disclosure Statement
- ☒ PTO form 1449 with copies of referenced art
- ☒ Transmittal Letter (original and one copy)
- ☒ Return Postcard

☒ Please charge my Deposit Account No. 09-0460 in the amount of \$ 000.00  
(A duplicate copy of this sheet is attached)

☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account 09-0460:

☒ Any patent application processing fees under 37 CFR 1.17.

**CERTIFICATE OF MAILING**

I hereby certify that the above paper/fee (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, Washington, DC 20231.

Date of Deposit: January 30, 2002

Person mailing paper/fee: Carla R. Aliberti

Signature: Carla R Aliberti

Respectfully submitted,

Richard M. Goldman, Reg. No. 25,585  
Attorney for Applicant(s)  
Telephone: 408-463-5891  
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555 Bailey Avenue  
San Jose, CA 95141-1003



Docket No: SVL920010041US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Ho, et al.**

Serial No.: **09/849,793**

Group Art Unit: **Unknown**

Filed: **May 4, 2001**

Examiner: **Unassigned**

Confirmation No.: **Unassigned**

Title: **CICS BMS (BASIC MESSAGE SERVICE) METAMODEL**

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**INFORMATION DISCLOSURE STATEMENT  
WITHIN THREE MONTHS OF FILING OR  
BEFORE MAILING OF FIRST OFFICE ACTION  
(37 CFR 1.97(b))**

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to Section 707.05(b) of the MPEP and 37 CFR 1.97-1.99, a copy is herewith submitted (attached to form PTO-1449, Rev. 7-80) of prior art which is considered to be pertinent to the invention as claimed in the above-identified application.

It is requested that the Examiner fully consider the art cited, initial the leftmost column of the attached PTO Form 1449 adjacent each cited reference, and return a copy of the Form 1449 for applicants' records. It is further requested that the art be cited on the cover of any patent issuing from the subject application.

The citation of the above references should not be construed as a representation that an exhaustive search has been made, or that other, more pertinent art is not available. Nor does this statement constitute an admission by applicant or applicants' attorney that the information provided herein is necessarily prior art to applicants' invention. Moreover, applicants reserve the right to establish the patentability of the claimed invention over any of the listed documents should they be applied there-against as references.

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or before the mailing date of a first Office Action on the merits, whichever occurs last. 37 CFR 1.97(b).

**CERTIFICATE OF MAILING**

I hereby certify that the above paper/fee (along with any paper referred to as being attached or enclosed) is being deposited with the United State Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: January 30, 2002

Person mailing paper/fee: Carla R. Aliberti

Signature: *Carla R. Aliberti*

Respectfully Submitted,

Richard M. Goldman (Reg. No. 25,585)  
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Form PTO-1449

U.S. Department of Commerce  
Patent and Trademark OfficeAttorney Docket No.  
**SVL920010041US1**Serial No.  
**09/849,793****INFORMATION DISCLOSURE STATEMENT**

(Use several sheets if necessary)

Applicant (s):  
**Ho, et al.**Filing Date:  
**May 4, 2001**Group Art Unit:  
**Unassigned****U.S. PATENT DOCUMENTS**

Examiner Initial		Document Number	Date	Name	Class	Sub-class	Filing Date
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						

**RECEIVED****FEB 19 2002****Technology Center 2100****FOREIGN PATENT DOCUMENTS**

		Document	Date	Country	Class	Sub-class	Translation Yes/No
	BA						
	BB						
	BC						

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

	CA	James Martin, "Principles of Object-Oriented Analysis and Design", October 29, 1992, Chapter 1-22
	CB	"Quarterdeck Mosaic User Guide", 1995, Chapters 1-7

**EXAMINER****DATE CONSIDERED**

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant (s).